

Sanctions Tracker: Dec 2 - 8, 2024

December 12, 2024 MPER China (mper@rhg.com)

MPER China's weekly Sanctions Tracker captures Chinese entities newly added to or removed from more than <u>50 sanctions and red-flag programs</u> by major market economy governments and international organizations, and any changes in the exposure of over <u>8,000 Chinese security issuers</u> to these sanctioned or red-flag entities.

Changes in sanctioned Chinese entities

The following Chinese entities have been added to or removed from the sanctions and red-flag lists we track during the coverage period:

Sanctions List	Sanctioned Entity	Action	Date
Entity List	AccoTest Technology Co Ltd (Hong Kong)	Addition	12/2/2024
Entity List	Naura Technology Group Co Ltd	Addition	12/2/2024
Entity List	Beijing E-Town Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Beijing Guangke Xintu Technology Co Ltd	Addition	12/2/2024
Entity List	Beijing Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Beijing Naura Semiconductor Equipment Co Ltd	Addition	12/2/2024
Entity List	Hefei Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Shanghai Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Beijing Jingyuan Microelectronics Technology Co Ltd	Addition	12/2/2024

Entity List	Shenzhen Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Beijing Sevenstar Flowmeter Co Ltd	Addition	12/2/2024
Entity List	Beijing Sevenstar Integrated Circuit Equipment Co Ltd	Addition	12/2/2024
Entity List	Wuhan Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Wuxi Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Beijing Shuoke Zhongkexin Electronic Equipment Co Ltd	Addition	12/2/2024
Entity List	Xi'an Naura Microelectronics Equipment Co Ltd	Addition	12/2/2024
Entity List	Beijing Zhongke Xin Electronic Equipment	Addition	12/2/2024
Entity List	Changguang Jizhi Optical Technology Co Ltd	Addition	12/2/2024
Entity List	Guowei Group (Shenzhen) Co Ltd	Addition	12/2/2024
Entity List	Beijing Guowei Integration Technology Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Guowei Hongbo Technology Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Guowei Sensing Technology Co Ltd	Addition	12/2/2024
Entity List	Dongfang Jingyuan Electron Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Guoweichip Technology Co Ltd	Addition	12/2/2024
Entity List	Jiangsu Nata Optoelectronic Material Co Ltd	Addition	12/2/2024
Entity List	Shandong Feiyuan Gas Co Ltd	Addition	12/2/2024
Entity List	Suzhou Nanda Optoelectronic Materials Co Ltd	Addition	12/2/2024
Entity List	Zibo Keyuanxin Fluorine Trading Ltd	Addition	12/2/2024

Entity List	Shanghai AGM Gas Co Ltd	Addition	12/2/2024
Entity List	Ningbo Nanda Optoelectronic Materials Ltd	Addition	12/2/2024
Entity List	Nanda Optoelectronic Semiconductor Materials Co Ltd	Addition	12/2/2024
Entity List	Ulanqab Nanda Microelectronics Materials Co Ltd	Addition	12/2/2024
Entity List	Quanjiao Nanda Optoelectronic Materials Ltd	Addition	12/2/2024
Entity List	Shanghai Jingzhuo Information Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Jingce Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Wuhan Yiguang Technology Co Ltd	Addition	12/2/2024
Entity List	JAC Capital	Addition	12/2/2024
Entity List	Beijing Huada Jiutian Technology Co Ltd	Addition	12/2/2024
Entity List	Chengdu Huada Jiutianke Technology Co Ltd	Addition	12/2/2024
Entity List	Guangzhou Huada Jiutian Technology Co Ltd	Addition	12/2/2024
Entity List	Kingstone Technology Hong Kong Ltd	Addition	12/2/2024
Entity List	Nanjing Huada Jiutianke Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Huada Jiutian Information Technology Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Huada Jiutianke Technology Co Ltd	Addition	12/2/2024
Entity List	Xian Huada Jiutian Technology Co Ltd	Addition	12/2/2024
Entity List	Beijing Kaishitong Semiconductor Co Ltd	Addition	12/2/2024

3

Entity List	Oriental Crystal Microelectronics Technology (Qingdao) Co Ltd	Addition	12/2/2024
Entity List	Oriental Crystal Microelectronics Technology (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Hefei Kaishitong Semiconductor Co Ltd	Addition	12/2/2024
Entity List	Shanghai Kaishitong Semiconductor Co Ltd	Addition	12/2/2024
Entity List	Shanghai Lingang Kaishitong Semiconductor Co Ltd	Addition	12/2/2024
Entity List	Wuxi Kaishitong Technology Co Ltd	Addition	12/2/2024
Entity List	Wingtech Technology Co Ltd	Addition	12/2/2024
Entity List	Qingxin Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Siwave Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun Purification System Technology Co Ltd	Addition	12/2/2024
Entity List	Hefei Zhihui Semiconductor Application Technology Co Ltd	Addition	12/2/2024
Entity List	Jiangsu Zhichun System Integration Co Ltd	Addition	12/2/2024
Entity List	Shanghai Aipusi Precision Equipment Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun Alloy Manufacturing Co Ltd	Addition	12/2/2024
Entity List	Shanghai Feiai Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun Electronic Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Integrated Circuit Equipment & Materials Industry Innovation Center Co Ltd	Addition	12/2/2024

Entity List	Shanghai Zhichun Optoelectronic Equipment Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun Precision Gas Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun Precision Manufacturing Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhichun System Integration Co Ltd	Addition	12/2/2024
Entity List	Shanghai Lizhi Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Modern Advanced Ultra-Precision Manufacturing Center Co Ltd	Addition	12/2/2024
Entity List	Shanghai Nanpre Mechanics Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zhijia Semiconductor Gas Co Ltd	Addition	12/2/2024
Entity List	Zhejiang Zhichun Precision Manufacturing Co Ltd	Addition	12/2/2024
Entity List	Zhiyi High Purity Electronic Materials (Shanghai) Ltd.	Addition	12/2/2024
Entity List	Shanghai Xinsheng Jingrui Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Xinsheng Jingtou Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Xinsheng Jinko Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Zixi Optical Technology Co Ltd	Addition	12/2/2024
Entity List	Changsha Zhichun Application Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Yuliangsheng Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Yuwei Semiconductor Technology Co Ltd	Addition	12/2/2024

Entity List	Shanghai Zhichun Semiconductor Equipment Co Ltd	Addition	12/2/2024
Entity List	Hefei Zhiwei Microelectronics Co Ltd	Addition	12/2/2024
Entity List	Hefei Zhiwei Semiconductor Co Ltd	Addition	12/2/2024
Entity List	Jiangsu Qiwei Semiconductor Equipment Co Ltd	Addition	12/2/2024
Entity List	Zhiwei Semiconductor (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Raintree Scientific Instruments (Shanghai) Corporation	Addition	12/2/2024
Entity List	Ruili Microelectronics Equipment (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Shenyang Xinyuan Microelectronic Equipment Co Ltd	Addition	12/2/2024
Entity List	Shenyang Xinyuan Micro Business Development Co Ltd	Addition	12/2/2024
Entity List	Piotech	Addition	12/2/2024
Entity List	Piotech (Beijing) Co Ltd	Addition	12/2/2024
Entity List	Piotech (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Piotech Chuangyi (Shenyang) Semiconductor Equipment Co Ltd	Addition	12/2/2024
Entity List	Shanghai Yanquan Technology Co Ltd	Addition	12/2/2024
Entity List	Piotech Jianke (Haining) Semiconductor Equipment Co Ltd	Addition	12/2/2024
Entity List	ACM Research (Shanghai)	Addition	12/2/2024
Entity List	Shengmei Semiconductor Equipment (Beijing) Co Ltd	Addition	12/2/2024
Entity List	Shengmei Semiconductor Equipment Wuxi Co Ltd	Addition	12/2/2024

Entity List	Shengwei Semiconductor Equipment (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Jingyuan Information Technology Co Ltd	Addition	12/2/2024
Entity List	Yusheng Micro Semiconductor (Shanghai) Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Pengxinxu Technology Co Ltd	Addition	12/2/2024
Entity List	Hwa Tsing Technology Co Ltd	Addition	12/2/2024
Entity List	Shenzhen SiCarrier Technologies Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Xinkailai Industrial Machinery Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Zhangge Instrument Co Ltd	Addition	12/2/2024
Entity List	Si'En Qingdao Co Ltd	Addition	12/2/2024
Entity List	Hwa Tsing (Beijing) Technology Co Ltd	Addition	12/2/2024
Entity List	Skyverse Ltd	Addition	12/2/2024
Entity List	Hwa Tsing (Guangzhou) Semiconductor Co Ltd	Addition	12/2/2024
Entity List	Hwa Tsing (Shanghai) Semiconductor Co Ltd	Addition	12/2/2024
Entity List	SwaySure Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Xinsheng Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Taiyuan Jinke Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Beijing Huafeng Test & Control Technology Co Ltd	Addition	12/2/2024
Entity List	Wise Road Capital	Addition	12/2/2024
Entity List	Beijing Huafeng Electronic Equipment Co Ltd	Addition	12/2/2024

7

Entity List	Huafeng Test & Control Technology (Tianjin) Co Ltd	Addition	12/2/2024
Entity List	Wuhan Xinxin Semiconductor Manufacturing Co Ltd	Addition	12/2/2024
Entity List	Chinese Academy of Sciences Institute of Microelectronics	Addition	12/2/2024
Entity List	Skyverse	Addition	12/2/2024
Entity List	Beijing Skyverse Technology Co Ltd	Addition	12/2/2024
Entity List	Chengdu Skyverse Technology Co Ltd	Addition	12/2/2024
Entity List	Guangzhou Skyverse Technology Co Ltd	Addition	12/2/2024
Entity List	Shanghai Skyverse Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Xinlian Rongchuang Integrated Circuit Industry Development (Beijing) Co Ltd	Addition	12/2/2024
Entity List	Shenzhen Qianhai Skyverse Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Yuwei Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Zhangjiang Laboratory	Addition	12/2/2024
Entity List	Zhejiang Shenqihang Technology Co Ltd	Addition	12/2/2024
Entity List	Xiamen Skyverse Technology Co Ltd	Addition	12/2/2024
Entity List	Zhuhai Skyverse Technology Co Ltd	Addition	12/2/2024
Entity List	Wuhan Skyverse Semiconductor Technology Co Ltd	Addition	12/2/2024
Entity List	Zhuhai Cornerstone Technology Co Ltd	Addition	12/2/2024

Entity List	Northern Integrated Circuit Technology Innovation Center (Beijing) Co Ltd	Addition	12/2/2024
Entity List	SMIC Advanced Technology R&D (Shanghai) Corporation	Addition	12/2/2024
SDN List	Ceres Shipping Ltd	Addition	12/3/2024
SDN List	Eunomia Ltd	Addition	12/3/2024
SDN List	Gaffodil Co Ltd	Addition	12/3/2024
SDN List	Shanghai Future Ship Management Co Ltd	Addition	12/3/2024
SDN List	Shanghai Legendary Ship Management Co Ltd	Addition	12/3/2024

Source: Rhodium Group MPER China.

Changes in Sanctions Exposure for Chinese security issuers

The changes in the sanctions and red-flag lists above resulted in the following updates to MPER Sanctions Exposure Scores:

Issuer (Ticker)	ISIN	Exposure	Sanctioned Entity	Old Score	New Score	Action
ACM Research (Shanghai) Inc (688082.SH)	CNE100004ZD8	Direct		0	9	New Addition
ACM Research (Shanghai) Inc (688082.SH)	CNE100004ZD8	Indirect	Shengmei Semiconductor Equipment (Beijing) Co Ltd	0	8	New Addition
ACM Research (Shanghai) Inc (688082.SH)	CNE100004ZD8	Indirect	Shengmei Semiconductor Equipment Wuxi Co Ltd	0	8	New Addition

ACM Research (Shanghai) Inc (688082.SH)	CNE100004ZD8	Indirect	Shengwei Semiconductor Equipment (Shanghai) Co Ltd	0	8	New Addition
ACM Research (Shanghai) Inc (688082.SH)	CNE100004ZD8	Indirect	Yusheng Micro Semiconductor (Shanghai) Co Ltd	0	8	New Addition
ACM Research Inc (ACMR.O)	US00108J1097	Indirect	ACM Research (Shanghai)	0	8	New Addition
ACM Research Inc (ACMR.O)	US00108J1097	Indirect	Shengmei Semiconductor Equipment (Beijing) Co Ltd	0	8	New Addition
ACM Research Inc (ACMR.O)	US00108J1097	Indirect	Shengmei Semiconductor Equipment Wuxi Co Ltd	0	8	New Addition
ACM Research Inc (ACMR.O)	US00108J1097	Indirect	Shengwei Semiconductor Equipment (Shanghai) Co Ltd	0	8	New Addition
ACM Research Inc (ACMR.O)	US00108J1097	Indirect	Yusheng Micro Semiconductor (Shanghai) Co Ltd	0	8	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Raintree Scientific Instruments (Shanghai) Corporation	0	7	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Ruili Microelectronics Equipment (Shanghai) Co Ltd	0	7	New Addition

Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Piotech	0	6	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Piotech (Beijing) Co Ltd	0	6	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Piotech (Shanghai) Co Ltd	0	6	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Piotech Chuangyi (Shenyang) Semiconductor Equipment Co Ltd	0	6	New Addition
Advanced Micro- Fabrication Equipment Inc. China (688012.SH)	CNE100003MM9	Indirect	Shanghai Yanquan Technology Co Ltd	0	6	New Addition
Beijing Huafeng Test & Control Technology Co Ltd (688200.SH)	CNE100003RC9	Direct		0	9	New Addition
Beijing Huafeng Test & Control Technology Co Ltd (688200.SH)	CNE100003RC9	Indirect	Beijing Huafeng Electronic Equipment Co Ltd	0	8	New Addition
Beijing Huafeng Test & Control Technology Co Ltd (688200.SH)	CNE100003RC9	Indirect	Huafeng Test & Control Technology (Tianjin) Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Direct		0	9	New Addition

Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Chengdu Huada Jiutianke Technology Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Guangzhou Huada Jiutian Technology Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Nanjing Huada Jiutianke Technology Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Shanghai Huada Jiutian Information Technology Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Shenzhen Huada Jiutianke Technology Co Ltd	0	8	New Addition
Empyrean Technology Co Ltd (301269.SZ)	CNE100005GY1	Indirect	Xian Huada Jiutian Technology Co Ltd	0	8	New Addition
Hwatsing Technology Co Ltd (688120.SH)	CNE100005QL7	Direct		0	9	New Addition
Hwatsing Technology Co Ltd (688120.SH)	CNE100005QL7	Indirect	Hwa Tsing (Beijing) Technology Co Ltd	0	8	New Addition
Hwatsing Technology Co Ltd (688120.SH)	CNE100005QL7	Indirect	Hwa Tsing (Guangzhou) Semiconductor Co Ltd	0	8	New Addition
Hwatsing Technology Co Ltd (688120.SH)	CNE100005QL7	Indirect	Hwa Tsing (Shanghai) Semiconductor Co Ltd	0	8	New Addition

Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Direct		0	9	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Shandong Feiyuan Gas Co Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Suzhou Nanda Optoelectronic Materials Co Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Zibo Keyuanxin Fluorine Trading Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Quanjiao Nanda Optoelectronic Materials Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Ulanqab Nanda Microelectronics Materials Co Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Nanda Optoelectronic Semiconductor Materials Co Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Ningbo Nanda Optoelectronic Materials Ltd	0	8	New Addition
Jiangsu Nata Opto- electronic Material Co Ltd (300346.SZ)	CNE100001K48	Indirect	Shanghai AGM Gas Co Ltd	0	8	New Addition
Kingsemi Co Ltd (688037.SH)	CNE100005X23	Direct		0	9	New Addition
Kingsemi Co Ltd (688037.SH)	CNE100005X23	Indirect	Shenyang Xinyuan Micro Business	0	8	New Addition

			Development Co Ltd			
National Silicon Industry Group Co Ltd (688126.SH)	CNE1000040F5	Indirect	Shanghai Xinsheng Semiconductor Technology Co Ltd	0	8	New Addition
National Silicon Industry Group Co Ltd (688126.SH)	CNE1000040F5	Indirect	Taiyuan Jinke Semiconductor Technology Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Direct		0	9	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Beijing Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Beijing Naura Semiconductor Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Hefei Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Shanghai Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Shenzhen Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Wuhan Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Wuxi Naura Microelectronics Equipment Co Ltd	0	8	New Addition

NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Xian Naura Microelectronics Equipment Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Beijing Sevenstar Flowmeter Co Ltd	0	8	New Addition
NAURA Technology Group Co Ltd (002371.SZ)	CNE100000ML7	Indirect	Beijing Sevenstar Integrated Circuit Equipment Co Ltd	0	8	New Addition
Piotech Inc (688072.SH)	CNE100005998	Direct		0	9	New Addition
Piotech Inc (688072.SH)	CNE100005998	Indirect	Piotech (Beijing) Co Ltd	0	8	New Addition
Piotech Inc (688072.SH)	CNE100005998	Indirect	Piotech (Shanghai) Co Ltd	0	8	New Addition
Piotech Inc (688072.SH)	CNE100005998	Indirect	Piotech Chuangyi (Shenyang) Semiconductor Equipment Co Ltd	0	8	New Addition
Piotech Inc (688072.SH)	CNE100005998	Indirect	Shanghai Yanquan Technology Co Ltd	0	8	New Addition
Piotech Inc (688072.SH)	CNE100005998	Indirect	Piotech Jianke (Haining) Semiconductor Equipment Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Direct		0	9	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Hefei Zhihui Semiconductor Application Technology Co Ltd	0	8	New Addition

PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Jiangsu Zhichun System Integration Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Aipusi Precision Equipment Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Alloy Manufacturing Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Electronic Technology Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Optoelectronic Equipment Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Precision Gas Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Precision Manufacturing Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun System Integration Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhijia Semiconductor Gas Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Zhejiang Zhichun Precision Manufacturing Co Ltd	0	8	New Addition

PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Zhiyi High Purity Electronic Materials (Shanghai) Ltd.	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Hefei Zhiwei Microelectronics Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Hefei Zhiwei Semiconductor Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Jiangsu Qiwei Semiconductor Equipment Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Zhiwei Semiconductor (Shanghai) Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Changsha Zhichun Application Technology Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zhichun Semiconductor Equipment Co Ltd	0	8	New Addition
PNC Process Systems Co Ltd (603690.SH)	CNE100002ZD2	Indirect	Shanghai Zixi Optical Technology Co Ltd	0	8	New Addition
Semiconductor Manufacturing International Corporation (688981.SH; 00981.HK)	CNE1000041W8; KYG8020E1199	Indirect	Northern Integrated Circuit Technology Innovation Center (Beijing) Co Ltd	0	8	New Addition
Semiconductor Manufacturing International	CNE1000041W8; KYG8020E1199	Indirect	SMIC Advanced Technology R&D	0	8	New Addition

Corporation (688981.SH; 00981.HK)			(Shanghai) Corporation			
Shanghai Wanye Enterprises Co Ltd (600641.SH)	CNE0000008P3	Indirect	Beijing Kaishitong Semiconductor Co Ltd	0	8	New Addition
Shanghai Wanye Enterprises Co Ltd (600641.SH)	CNE0000008P3	Indirect	Hefei Kaishitong Semiconductor Co Ltd	0	8	New Addition
Shanghai Wanye Enterprises Co Ltd (600641.SH)	CNE0000008P3	Indirect	Shanghai Kaishitong Semiconductor Co Ltd	0	8	New Addition
Shanghai Wanye Enterprises Co Ltd (600641.SH)	CNE0000008P3	Indirect	Shanghai Lingang Kaishitong Semiconductor Co Ltd	0	8	New Addition
Shanghai Wanye Enterprises Co Ltd (600641.SH)	CNE0000008P3	Indirect	Wuxi Kaishitong Technology Co Ltd	0	7	New Addition
Shenzhen Gongjin Electronics Co Ltd (603118.SH)	CNE100001VN2	Indirect	Shanghai Siwave Technology Co Ltd	0	7	New Addition
Skyverse Technology Co Ltd (688361.SH)	CNE100005ZD5	Direct		0	9	New Addition
Skyverse Technology Co Ltd (688361.SH)	CNE100005ZD5	Indirect	Beijing Skyverse Technology Co Ltd	0	8	New Addition
Skyverse Technology Co Ltd (688361.SH)	CNE100005ZD5	Indirect	Chengdu Skyverse Technology Co Ltd	0	8	New Addition
Skyverse Technology Co Ltd (688361.SH)	CNE100005ZD5	Indirect	Guangzhou Skyverse Technology Co Ltd	0	8	New Addition

CNE100005ZD5	Indirect	Shanghai Skyverse Semiconductor Technology Co Ltd	0	8	New Addition
CNE100005ZD5	Indirect	Shenzhen Qianhai Skyverse Semiconductor Technology Co Ltd	0	8	New Addition
CNE100005ZD5	Indirect	Xiamen Skyverse Technology Co Ltd	0	8	New Addition
CNE100005ZD5	Indirect	Zhuhai Skyverse Technology Co Ltd	0	8	New Addition
CNE100005ZD5	Indirect	Wuhan Skyverse Semiconductor Technology Co Ltd	0	8	New Addition
KYG824001054	Direct		0	9	New Addition
KYG824001054	Indirect	Beijing Guowei Integration Technology Co Ltd	0	8	New Addition
KYG824001054	Indirect	Shenzhen Guowei Hongbo Technology Co Ltd	0	8	New Addition
KYG824001054	Indirect	Shenzhen Guowei Sensing Technology Co Ltd	0	8	New Addition
KYG824001054	Indirect	Shenzhen Guoweichip Technology Co Ltd	0	8	New Addition
CNE100004ZV0	Indirect	Chinese Academy	0	7	New Addition
	CNE100005ZD5 CNE100005ZD5 CNE100005ZD5 KYG824001054 KYG824001054 KYG824001054 KYG824001054	CNE100005ZD5 Indirect CNE100005ZD5 Indirect CNE100005ZD5 Indirect CNE100005ZD5 Indirect KYG824001054 Direct KYG824001054 Indirect KYG824001054 Indirect KYG824001054 Indirect KYG824001054 Indirect	Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Shenzhen Qianhai Skyverse Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Xiamen Skyverse Technology Co Ltd CNE100005ZD5 Indirect Zhuhai Skyverse Technology Co Ltd CNE100005ZD5 Indirect Wuhan Skyverse Semiconductor Technology Co Ltd KYG824001054 Direct KYG824001054 Indirect Beijing Guowei Integration Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Hongbo Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd CNE100004ZV0 Indirect Chinese Academy	Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Shenzhen Qianhai Skyverse Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Xiamen Skyverse Technology Co Ltd CNE100005ZD5 Indirect Zhuhai Skyverse Technology Co Ltd CNE100005ZD5 Indirect Wuhan Skyverse Technology Co Ltd CNE100005ZD5 Indirect Wuhan Skyverse Semiconductor Technology Co Ltd KYG824001054 Direct 0 KYG824001054 Indirect Beijing Guowei Integration Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Hongbo Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Guoweichip Technology Co Ltd CNE100004ZV0 Indirect Chinese Academy 0	Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Shenzhen Qianhai Skyverse Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Xiamen Skyverse Technology Co Ltd CNE100005ZD5 Indirect Zhuhai Skyverse Technology Co Ltd CNE100005ZD5 Indirect Wuhan Skyverse Semiconductor Technology Co Ltd CNE100005ZD5 Indirect Wuhan Skyverse Semiconductor Technology Co Ltd KYG824001054 Direct 0 9 KYG824001054 Indirect Beijing Guowei Integration Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Hongbo Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei Sensing Technology Co Ltd KYG824001054 Indirect Shenzhen Guowei O 8 KYG824001054 Indirect Shenzhen O 8

19

Wingtech
Technology Co Ltd (600745.SH)

CNE000000M72 Direct
0 9 New Addition

Source: Rhodium Group MPER China.

ABOUT RHODIUM GROUP

Rhodium Group is an independent research provider with deep expertise in policy and economic analysis. We help decision-makers in both the public and private sectors navigate global challenges through objective, original, and data-driven research and insights. Our key areas of expertise are China's economy and policy dynamics, and global climate change and energy systems. More information is available at www.rhg.com.

DISCLOSURES

This material was produced by Rhodium Group LLC for use by the recipient only. No part of the content may be copied, photocopied or duplicated in any form by any means or redistributed without the prior written consent of Rhodium Group.

Rhodium Group's publications are intended to provide clients with general background research on important global developments and a framework for making informed decisions. Our research is based on current public information that we consider reliable, but we do not represent it as accurate or complete. The information in this publication is not intended as investment advice and it should not be relied on as such.

© 2024 Rhodium Group LLC, 5 Columbus Circle, New York, NY 10019. All rights reserved.

New York | California | Washington, DC | Paris

Website: www.rhg.com

